OTPE 425

08-16-06

CASE ON/4-32563A /

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August 15, 2006

Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

Art Unit: 1636

SHARMA ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP03/07005

FILED: 1 JULY 2003

U.S. APPLICATION NO: 10/519,042 35 USC §371 DATE: 13 JULY 2005

FOR: PEPTIDE INHIBITORS OF SMAC PROTEIN BINDING TO

INHIBITOR OF APOPTOSIS PROTEINS (IAP)

MS: Amendment

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The asterisked references were cited in the International-Search Report and since copies of said references were forwarded by the International Bureau, only copies of the non-asterisked references are enclosed.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Attorney for Applicants

Lydia T. McNally

Reg. No. 36,214

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7898

Date: august 15, 2006

' FORM PTO-1449 (REV. 7-85)

AUG 1 5 2006

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Uşe şeveral sheets if necessary)

ATTY. DOCKET NO. ON/4-32563A APPLICATION NO. 10/519,042 APPLICANT SHARMA ET AL. FILING DATE JULY 13, 2005

Group 1636

U.S. PATENT DOCUMENTS

FRANCE	U.S. PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE			
	AA									
	AB									
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AM	01/15511*	3/8/01	wo		<u> </u>		
AN							
AO							
AP							
AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

EVAMINED	DATE CONCIDENCE
AT	Arnt et al., "Synthetic Smac/DIABLO peptides enhance the effects of chemotherapeutic agents by binding XIAP and cIAP1 in Situ," Journal of Biological Chemistry, Vol. 277(46), pp. 44236-44243 (2002)*
AS	Wu et al., "Structual analysis of a functional DIAP1 fagment bound to grim and hid peptides," Molecular Cell, Vol. 8(1), pp. 95-104 (2001)*
AR	Kipp et al., "Molecular Targeting of Inhibitor of Apoptosis Proteins Based on Small Molecule Mimics of Ntural Binding Partners," Biochemistry, Vol. 41(23), pp. 7344-7349 (2002)*

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

' FORM PTO-1449 (REV. 7-85)

EXAMINER

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. ON/4-32563A APPLICATION NO. 10/519,042 APPLICANT SHARMA ET AL. FILING DATE JULY 13, 2005

Group 1636

U.S. PATENT DOCUMENTS EXAMINER INITIAL **DOCUMENT NUMBER** DATE NAME CLASS **SUBCLASS** FILING DATE BA BB BC BD BE BF BG BH ВΙ BJ BK BL **FOREIGN PATENT DOCUMENTS** TRANSLATION YES NO **DOCUMENT NUMBER** DATE **OFFICE** CLASS **SUBCLASS** BM BN во ΒP BQ OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.) Suginosita et al., Electronics Letters, Vol. 28, No. 4, pp. 355-357 (1992) BR BS BT

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

DATE CONSIDERED